

ON TERNARY CUBIC FORMS

Werner Georg NOWAK

Institut für Mathematik, Universität für Bodenkultur, A-1180 Wien, Austria

Dedicated to Professor Gino Tironi on his 60th birthday

Received: May 1999

MSC 1991: 11H50, 11H16

Keywords: Ternary cubic forms, homogeneous minima

Abstract. For ternary cubic forms $f_a : u^3 + v^3 + w^3 + 3auvw$ a new approach is pursued to estimate their minimum in the sense of the Geometry of numbers. The idea is to inscribe into the star body $|f_a| \leq 1$ a suitably rotated and dilated copy of the double paraboloid $x^2 + y^2 + |z| \leq 1$ whose critical determinant has been recently evaluated by the author [14]. For $-2.31788 < a < -0.48403$, $a \neq -1$, the result obtained is the best of its kind known so far.

1. Introduction. Survey of classic results. Let $f = f(u, v, w)$ denote a cubic form (i.e., a homogeneous polynomial of degree 3) with real coefficients. We shall suppose throughout that f is *regular*, i.e., that $\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}, \frac{\partial f}{\partial w}$ vanish simultaneously only at the origin¹⁾. The following number theoretic question is natural: How small can $|f|$ be made by a suitable choice of *integer* values u, v, w (not all zero) - with the idea that the desired answer should provide a certain amount of uniformity in the coefficients of f .

It is known (cf. WEBER [17], p. 401 - 408²⁾) that - for f regular - there always exists a non-singular real linear transformation which reduces f to the canonical form

$$f_a : u^3 + v^3 + w^3 + 3a uvw \quad (a \neq -1). \quad (1.1)$$

Of course \mathbb{Z}^3 is then transformed into a general three-dimensional lattice Λ . Therefore, it was natural to define

$$M_a = \sup_{\Lambda: d(\Lambda)=1} \inf_{\substack{(u,v,w) \in \Lambda \\ (u,v,w) \neq (0,0,0)}} |u^3 + v^3 + w^3 + 3a uvw|, \quad (1.2)$$

¹⁾ We shall say more about this condition (and also about the forms *not* meeting it) in the Appendix.

²⁾ The author is indebted to Professor Kurt GIRSTMAIR (Innsbruck) for this important reference.

with Λ ranging over all three-dimensional lattices with lattice constant³⁾ $d(\Lambda) = 1$. This was simply called the *minimum* of the ternary cubic form involved.

We briefly report about two special cases excluded in (1.1). (Here $(\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}, \frac{\partial f}{\partial w}) = (0, 0, 0)$ has nontrivial solutions.) The case $f : uvw$ is known as the problem of *the product of three (real) linear forms*: This was successfully attacked by DAVENPORT [1], [2], [3], [4], [5], who ultimately proved that the minimum (in the same sense as (1.2)) of this form is equal to $\frac{1}{7}$. For $a = -1$, f_{-1} is a product of three linear forms L_1, L_2, L_3 , with L_1 real and L_3 the complex conjugate of L_2 . This was also dealt with by DAVENPORT [3], with the result that

$$M_{-1} = \sqrt{\frac{27}{23}} = 1.08347\dots \quad (1.3)$$

For general a , only more or less precise bounds for M_a are known. The problem is connected with the notion of the *critical determinant*⁴⁾ $\Delta(K_a)$ of the star body $K_a : |f_a| \leq 1$. By a simple homogeneity consideration,

$$M_a = \frac{1}{\Delta(K_a)}. \quad (1.4)$$

Special attention was paid to the case $a = 0$: DAVENPORT [6] inscribed into K_0 the convex body

$$u_+^3 + v_+^3 + w_+^3 \leq 1, \quad (-u)_+^3 + (-v)_+^3 + (-w)_+^3 \leq 1, \quad \text{with } z_+ := \max(z, 0),$$

and applied Minkowski's Convex Body Theorem. He thus obtained

$$M_0 \leq 8\Gamma^{-3} \left(\frac{4}{3}\right) \left(2 + \frac{27\sqrt{3}}{2\pi}\right)^{-1} = 1.1897\dots \quad (1.5)$$

Later [7], he used the more subtle non-convex body

$$\theta(u^3) + \theta(v^3) + \theta(w^3) \leq 1, \quad \theta(-u^3) + \theta(-v^3) + \theta(-w^3) \leq 1, \quad \theta(z) := \begin{cases} z & \text{for } z \geq 0, \\ \frac{z}{9} & \text{for } z < 0, \end{cases}$$

and applied Blichfeldt's Theorem to conclude that

$$M_0 \leq 8\Gamma^{-3} \left(\frac{4}{3}\right) \left(2 + \frac{27\sqrt{3}}{2\pi} \left(1 + \frac{2}{3} \sum_{n=1}^{\infty} 9^{-n} \left(\frac{1}{3n+1} + \frac{1}{3n+2}\right)\right)\right)^{-1} = 1.1571\dots \quad (1.6)$$

³⁾ For the basic concepts of the Geometry of Numbers the reader may consult, e.g., the enlightening textbook by GRUBER and LEKKERKERKER [10]. There also a survey of the literature on forms of other degrees or another number of variables can be found.

⁴⁾ This is the infimum of the lattice constants of all lattices of which no nontrivial point is contained in the interior of the star body.

For arbitrary a , an obvious possibility to estimate $\Delta(K_a)$ and thus M_a is to inscribe into K_a a convex body of the shape $|u|^3 + |v|^3 + |w|^3 \leq c$ and to apply Minkowski's Convex Body Theorem. Thus one gets

$$M_a \leq \frac{1}{\Gamma^3\left(\frac{4}{3}\right)}(1 + |a|).$$

MORDELL [12] applied a deep method involving the concept of a *polar reciprocal lattice* and the reduction of the problem to a two-dimensional one, to establish better upper bounds for all a . It was noted by GOLSER (a student of E. HLAWKA) that these estimates could be improved substantially, by a refinement of Mordell's own method. His final results may be stated as follows: Writing $k = 3a$, let

$$\mu(k) = \begin{cases} \max\left(\frac{1}{27}(k^4 + 108k) + \frac{1}{2}k^3 + 2k^2 + 3, \frac{1}{2}k^3 + 2k^2 + 27\right) & \text{for } k \geq 0, \\ \max\left(\frac{1}{27}(-k^4 + 108|k|) + \frac{1}{2}|k|^3 + 2k^2 + 3, \frac{1}{2}|k|^3 + 2k^2 + 27\right) & \text{for } -\sqrt[3]{108} < k < 0, \\ \max\left(\frac{1}{27}(k^4 - 108|k|) + \frac{1}{2}|k|^3 + 2k^2 + 27, \frac{1}{2}|k|^3 + 2k^2 + 3\right) & \text{for } k \leq -\sqrt[3]{108}. \end{cases}$$

Then for all a (GOLSER [8])

$$M_a \leq \left(\frac{2\mu(3a)}{23}\right)^{1/4}. \quad (1.7)$$

GOLSER [8], [9] also noted that, for certain ranges of a , better bounds can be obtained by the simple procedure to inscribe a sphere into K_a .

Later on, the author [13] refined this idea by using a more general ellipsoid of the shape

$$u^2 + v^2 + w^2 + 2t(uv + uw + vw) \leq r^2,$$

with a parameter $t \in]-\frac{1}{2}, 1[$, $t \neq 0$. This leads to the result

$$M_a \leq \sqrt{2}(1-t)\sqrt{1+2t} \max\left(\frac{|1+a|}{\sqrt{3}(1+2t)^{3/2}}, \phi_1(t), \phi_2(t)\right), \quad (1.8)$$

where, for $j = 1, 2$,

$$\phi_j(t) := (2 + 2t + 4c_j(t)t + c_j(t)^2)^{-3/2} |2 + 3ac_j(t) + c_j(t)^3|,$$

$$c_j(t) := \frac{a - 1 - 2t + (-1)^j \sqrt{(a-1)^2 + 4t + 4(a-1)t^2}}{2t},$$

$\phi_j(t) := 0$ if $c_j(t) \notin \mathbb{R}$. For any given a , the parameter t can be chosen to make the estimate optimal.

The novelty of the present paper is based on the author's recent result [14] that the critical determinant of the *double paraboloid*

$$\mathcal{P} : |z| + x^2 + y^2 \leq 1$$

is given by

$$\Delta(\mathcal{P}) = \frac{1}{2}. \quad (1.9)$$

Inscribing a suitably rotated and dilated copy of \mathcal{P} into K_a , we shall infer an estimate for $\Delta(K_a)$, resp., M_a , which for a certain range of a (namely $-2.31788 < a < -0.48403$, $a \neq -1$) improves upon all bounds known so far.

Before entering into the details of this new approach, it might be worthwhile to provide a table⁵⁾ which compares the efficiency of the different methods mentioned above and to indicate which of them "holds the record" for a certain value of the constant a .

Range for a	Best bound for M_a
$a < -6.649$	(1.7), Golser [8]
$-6.649 < a < -2.318$	(1.8), Nowak [13]
$-2.318 < a < -0.484,$ $a \neq -1$	present paper
$a = -1$	(1.3), Davenport [3]
$-0.484 < a < -0.02685$	(1.7), Golser [8]
$-0.02685 < a < 0.0407,$ $a \neq 0$	Davenport [6]
$a = 0$	(1.6), Davenport [7]
$0.0407 < a < 0.819$	(1.7), Golser [8]
$0.819 < a < 6.76$	(1.8), Nowak [13]
$a > 6.76$	(1.7), Golser [8]

2. The paraboloid approach.

Our idea is to estimate the critical determinant of the body $K_a : |f_a| \leq 1$ by inscribing a double paraboloid and using the author's recent result (1.9) in the form that $\Delta(\mathcal{P}_0^{(p)}) = \frac{1}{2p}$ for

$$\mathcal{P}_0^{(p)} : p |z| + x^2 + y^2 \leq 1, \quad (2.1)$$

$p > 0$ a parameter remaining at our disposition. Since f_a is a symmetric function of its variables u, v, w , it is convenient to use a paraboloid whose axis of rotation is the straight line through the origin with direction vector $\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$. In other words, we submit

⁵⁾ The numerical values are in fact available with much higher accuracy. We have rounded them to a few decimal places to keep this table in a reasonable format.

$\mathcal{P}_0^{(p)}$ to a rotation which sends $\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$ to $\begin{pmatrix} \frac{1}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} \end{pmatrix}$. Its matrix is given by

$$A = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} \\ 0 & -\frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} \end{pmatrix}.$$

Viewing this as a change of the coordinate system, i.e.,

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = A^{-1} \begin{pmatrix} u \\ v \\ w \end{pmatrix},$$

we get

$$\begin{aligned} x &= \frac{1}{\sqrt{2}}(u - v), \\ y &= \frac{1}{\sqrt{6}}(u + v) - \frac{\sqrt{2}}{\sqrt{3}}w, \\ z &= \frac{1}{\sqrt{3}}(u + v + w). \end{aligned}$$

Under this unimodular transformation, $\mathcal{P}_0^{(p)}$ becomes

$$\mathcal{P}^{(p)} : \quad \frac{p}{\sqrt{3}} |u + v + w| + \frac{2}{3} (u^2 + v^2 + w^2 - (uv + uw + vw)) \leq 1. \quad (2.2)$$

We put for short

$$S = u + v + w, \quad Q = u^2 + v^2 + w^2, \quad B = uv + uw + vw,$$

then (2.2) simply reads $\mathcal{P}^{(p)} : \frac{p}{\sqrt{3}}|S| + \frac{2}{3}(Q - B) \leq 1$, and

$$f_a = f_a(u, v, w) = u^3 + v^3 + w^3 + 3auvw = S^3 - 3SB + 3(1 + a)uvw,$$

as a straightforward computation verifies.

Our task is to determine the (absolute) maximum of $|f_a(u, v, w)|$ on the surface $\frac{p}{\sqrt{3}}|S| + \frac{2}{3}(Q - B) = 1$ which obviously is found among the relative extrema of f_a on this set. By symmetry, we may restrict our search to

$$\frac{p}{\sqrt{3}}S + \frac{2}{3}(Q - B) = 1, \quad S \geq 0. \quad (2.3)$$

Using this along with the identity $S^2 = Q + 2B$, we see after a quick computation that f_a simplifies to

$$\frac{3p}{2}\sqrt{3}(1 - Q) + \frac{3}{2}(1 - p^2)S + 3buvw$$

with $b = a + 1$ for short. Since the case $a = -1$ has been settled by (1.3), we shall assume throughout the sequel that $b \neq 0$. We shall thus optimize $cuvw - Q + \alpha S$, where $c := \frac{2b}{p\sqrt{3}}$, $\alpha := \frac{1-p^2}{p\sqrt{3}}$, under the constraint (2.3), by means of Lagrange's rule.⁶⁾ Our Lagrange function reads

$$L = L(u, v, w) = cuvw - Q + \alpha S + t \left(\frac{p}{\sqrt{3}}S + \frac{2}{3}(Q - B) - 1 \right),$$

thus we get

$$\frac{\partial L}{\partial u} = cvw - 2u + \alpha + t \left(\frac{p}{\sqrt{3}} + \frac{2}{3}(2u - v - w) \right) = 0, \quad (2.4)$$

$$\frac{\partial L}{\partial v} = cuw - 2v + \alpha + t \left(\frac{p}{\sqrt{3}} + \frac{2}{3}(2v - u - w) \right) = 0, \quad (2.5)$$

$$\frac{\partial L}{\partial w} = cuv - 2w + \alpha + t \left(\frac{p}{\sqrt{3}} + \frac{2}{3}(2w - u - v) \right) = 0. \quad (2.6)$$

We claim that there exists no solution of (2.3) – (2.6) with $u \neq v \neq w \neq u$. Assuming the contrary, we subtract (2.5) from (2.4) and divide by $u - v$ to get

$$-cw + 2(t - 1) = 0. \quad (2.7)$$

Similarly, from (2.4) and (2.6), after division by $u - w$,

$$-cv + 2(t - 1) = 0. \quad (2.8)$$

Subtracting these last two equations yields the contradiction $v = w$.

Thus there remain just two cases (apart from permutations of the variables).

Case 1. Solutions of (2.3) – (2.6) with $u = v = w$. From (2.3) we immediately obtain

$$u_0 = v_0 = w_0 = \frac{1}{p\sqrt{3}}, \quad f_a(u_0, v_0, w_0) = \frac{b}{p^3\sqrt{3}}. \quad (2.9)$$

Case 2. Solutions of (2.3) – (2.6) with $u = v \neq w$. The deduction of (2.8) remains valid, thus $t = \frac{1}{2}cu + 1$. Inserting this into (2.4) and solving for w , we get⁷⁾

$$w = \frac{6\alpha + \sqrt{3}cpu + 2cu^2 + 2\sqrt{3}p - 8u}{4(1 - cu)}.$$

⁶⁾ We postpone for the moment the possibility of an extremum in the plane $S = 0$.

⁷⁾ It is recommendable to carry out this and the subsequent calculations with the support of a symbolic computation package such as DERIVE [16] and/or MATHEMATICA [18].

Inserting $c = \frac{2b}{p\sqrt{3}}$ and $\alpha = \frac{1-p^2}{p\sqrt{3}}$ again, this becomes⁸⁾

$$w = w(b, p; u) = \frac{2bu^2 + \sqrt{3}pu(b-4) + 3}{2(\sqrt{3}p - 2bu)}. \quad (2.10)$$

We use this (along with $u = v$) in (2.3) to obtain after substantial simplifications

$$\begin{aligned} P(b, p; u) := & -12b^2u^4 + 8\sqrt{3}(3-b)bp u^3 + (-36p^2 + b^2(8+p^2) + 6b(-2+3p^2))u^2 + \\ & + \sqrt{3}p(12 - b(8+p^2))u + 3(-1+p^2) = 0. \end{aligned}$$

Defining, for given b, p , the finite set

$$\mathcal{S}(b, p) = \begin{cases} \{u \in \mathbb{R} : P(b, p; u) = 0, 2u + w(b, p; u) > 0\} & \text{if } b \neq \frac{3p^2}{p^2+2}, \\ \left\{ \frac{\sqrt{3}}{3p}, \frac{\sqrt{3}(1-p^2)}{3p} \right\} & \text{if } b = \frac{3p^2}{p^2+2}, \end{cases} \quad (2.11)$$

we see that for this case the maximum of $|f_a|$ is given by

$$\mu_1(b, p) := \max_{u \in \mathcal{S}(b, p)} |2u^3 + w(b, p; u)^3 + 3(b-1)u^2 w(b, p; u)| \quad (b = a+1). \quad (2.12)$$

It remains to determine the extrema of f_a on the circle which is determined by the intersection of the double paraboloid $\frac{p}{\sqrt{3}}|S| + \frac{2}{3}(Q-B) = 1$ with the plane $S = 0$. By this last identity, f_a simplifies to $-3b(u^2v + uv^2)$. The equation of the paraboloid becomes

$$2(u^2 + uv + v^2) = 1. \quad (2.13)$$

Thus we get a Lagrange function

$$L = -3b(u^2v + uv^2) + t(2(u^2 + uv + v^2) - 1),$$

and, therefore,

$$\frac{\partial L}{\partial u} = -3b(2uv + v^2) + t(4u + 2v) = 0, \quad (2.14)$$

$$\frac{\partial L}{\partial v} = -3b(u^2 + 2uv) + t(2u + 4v) = 0. \quad (2.15)$$

⁸⁾ To be quite rigorous, we have to discuss the possibility that in (2.10) both nominator and denominator vanish. This would imply that $u = \frac{\sqrt{3}p}{2b}$ and $b = \frac{3p^2}{p^2+2}$, hence $u = v = \frac{\sqrt{3}(p^2+2)}{6p}$, $w = \frac{\sqrt{3}(4-p^2)}{12p}$. These values do not satisfy (2.3). For $b = \frac{3p^2}{p^2+2}$, the polynomial $P(b, p; u)$ possesses exactly 3 roots, namely $\frac{\sqrt{3}}{3p}$, $\frac{\sqrt{3}(1-p^2)}{3p}$, and $\frac{\sqrt{3}(p^2+2)}{6p}$ (double). We shall take into account this matter when defining the set $\mathcal{S}(b, p)$ below.

Subtracting these two equations, we obtain

$$(u - v)(3b(u + v) + 2t) = 0,$$

hence either $u = v$ or $t = -\frac{3}{2}b(u + v)$. For $u = v$, eq. (2.13) readily gives the two solutions $u = v = \pm \frac{1}{\sqrt{6}}$. Inserting $t = -\frac{3}{2}b(u + v)$ into (2.14) yields

$$-3b(u + 2v)(2u + v) = 0,$$

hence (since $b \neq 0$) $u = -2v$ or $v = -2u$. In view of (2.13), this gives the four solutions $(\pm \frac{1}{\sqrt{6}}, \mp \frac{2}{\sqrt{6}})$, $(\pm \frac{2}{\sqrt{6}}, \mp \frac{1}{\sqrt{6}})$. Obviously $|f_a| = \frac{|b|}{\sqrt{6}}$ for all of these altogether six solutions (u, v) . We can summarize the results of our analysis as follows.

Lemma. *Let b and p be any real numbers, $b \neq 0$, $p > 0$. Then the maximum of*

$$|f_{b-1}(u, v, w)| = |u^3 + v^3 + w^3 + 3(b-1)uvw|$$

on the double paraboloid

$$\mathcal{P}^{(p)} : \frac{p}{\sqrt{3}}|u + v + w| + \frac{2}{3}(u^2 + v^2 + w^2 - (uv + uw + vw)) \leq 1$$

is given by

$$\mu^*(b, p) = \max\left(\frac{|b|}{p^3\sqrt{3}}, \mu_1(b, p), \frac{|b|}{\sqrt{6}}\right),$$

where $\mu_1(b, p)$ is defined by (2.12).

In other words, for any $p > 0$, $\mathcal{P}^{(p)}$ is contained in the star body $\mu^*(b, p)^{1/3}K_{b-1}$. Recalling that the critical determinant of $\mathcal{P}^{(p)}$ is $\frac{1}{2p}$, it follows that $\mu^*(b, p)\Delta(K_{b-1}) \geq \Delta(\mathcal{P}^{(p)}) = \frac{1}{2p}$, hence $M_a = \frac{1}{\Delta(K_a)} \leq 2p\mu^*(a+1, p)$.

Theorem. *The minimum M_a of the ternary cubic form $u^3 + v^3 + w^3 + 3a uvw$ satisfies*

$$M_a \leq 2p \max\left(\frac{|a+1|}{p^3\sqrt{3}}, \mu_1(a+1, p), \frac{|a+1|}{\sqrt{6}}\right), \quad (2.16)$$

where $p > 0$ is an arbitrary real parameter and $\mu_1(a+1, p)$ is defined by (2.12).

It is clear by construction, that the right hand side of (2.16) can be evaluated, for any given a and p , by a well-defined algorithm involving the zeros of a biquadratic polynomial. This can be safely done by a package like MATHEMATICA [18]; using its built-in FindMinimum-command, one can find for each a an optimal value of p which makes the upper bound obtained small.

Comparing our result with the bounds exhibited in section 1, we see that our "paraboloid approach" supersedes all previous estimates in the range $-2.31788\dots < a < -0.48403\dots$, except for the value $a = -1$, where (1.3) is much stronger (and in fact best possible). We illustrate this by a table indicating the new bounds for M_a provided by our Theorem, along with the corresponding optimal values for p , and the weaker bounds obtained by the "ellipsoid approach" [13], resp., the Mordell-Golser method [8].

a	$M_a \leq$ [new]	p	$M_a \leq$ [13]	$M_a \leq$ [8]
-2.3	2.08141	0.849235	2.08243	2.33665
-2.2	2.00216	0.831914	2.00872	2.26327
-2.1	1.92381	0.812551	1.93567	2.19004
-2	1.84647	0.790795	1.86334	2.11704
-1.9	1.77026	0.766192	1.79185	2.04435
-1.8	1.69532	0.738166	1.72130	1.97210
-1.7	1.62183	0.705962	1.65186	1.90042
-1.6	1.54999	0.668569	1.58370	1.82951
-1.5	1.48007	0.624567	1.51705	1.77074
-1.4	1.41242	0.571851	1.45223	1.71431
-1.3	1.34751	0.507026	1.38964	1.65884
-1.2	1.28602	0.423765	1.32989	1.60466
-1.1	1.22914	0.306503	1.27403	1.55216
-0.9	1.29904	1	1.41421	1.45416
-0.8	1.29904	1	1.41421	1.40983
-0.7	1.29904	1	1.41421	1.36948
-0.6	1.29904	1	1.41421	1.33379
-0.5	1.29904	1	1.41421	1.30337

Concerning the last five lines of this table, a bit of explanation seems appropriate: For $p = 1$ (which the numerical calculation recommends as the optimal value), it is clear that $u = 0$ is a zero of $P(b, 1; u)$, independently of b . Accordingly, by (2.10), $w = \frac{\sqrt{3}}{2}$, and $2f_a(0, 0, \frac{\sqrt{3}}{2}) = \frac{3}{4}\sqrt{3} \approx 1.29904$ which is equal to $2\mu^*(a+1, 1)$ for $-0.9 \leq a \leq -0.5$.

3. Appendix. Remarks on general ternary cubic forms. The important condition that the form $f(u, v, w)$ be regular has frequently been omitted in the literature, as far as the Geometry of Numbers is concerned. (To cite just one bad example we refer to the author's previous paper [13].) Therefore, we discuss the matter in some detail.

In fact, the assumption that

$$(*) \quad \left(\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}, \frac{\partial f}{\partial w} \right) = (0, 0, 0) \quad \text{only for } (u, v, w) = (0, 0, 0)$$

is usually expressed as: "The discriminant of f is nonzero". To understand what this discriminant is, we suppose that there exists some nontrivial solution (u, v, w) (with $w \neq 0$, say) and rewrite $(*)$ in the shape

$$f_1(t_1, t_2, 1) = f_2(t_1, t_2, 1) = f_3(t_1, t_2, 1) = 0.$$

(Here we used homogeneity and put $t_1 = \frac{u}{w}$, $t_2 = \frac{v}{w}$, $f_1 = \frac{\partial f}{\partial u}$, etc.). In principle it is possible to eliminate t_1, t_2 from this 3 polynomial equations and arrive at an equality

$$\text{polynomial in the coefficients of } f = 0$$

whose left-hand side essentially is the discriminant. To gain a bit more insight into its explicit nature, one can appeal to a very old article of HESSE [11]. According to his "Lehrsatz 4"⁹⁾, one can proceed as follows: Let $\varphi = \det(f_{ij}) = \frac{\partial(f_1, f_2, f_3)}{\partial(u, v, w)}$ denote the Hessian of f , and $\varphi_1, \varphi_2, \varphi_3$ its partial derivatives of first order. Clearly, $f_1, f_2, f_3, \varphi_1, \varphi_2, \varphi_3$ are homogeneous quadratic polynomials in u, v, w . Let \mathcal{M} denote the (6×6) -matrix which contains (row by row) the coefficients of $u^2, v^2, w^2, uv, vw, vw$ in these 6 polynomials. Then, as shown in HESSE [11], $(*)$ has nontrivial solutions if and only if $\det \mathcal{M} = 0$. Thus $\det \mathcal{M}$ defines (up to a numerical factor, which is a matter of convention anyway) the *discriminant* of the form f . It is not difficult to implement the above program in the syntax of MATHEMATICA [18]. For instance, for the special forms $f: a_1u^3 + a_2v^3 + a_3w^3 + 3auvw$ one obtains

$$|\det \mathcal{M}| = 2^9 3^{12} a_1 a_2 a_3 (a_1 a_2 a_3 + a^3)^3.$$

Thus such an f is regular iff $0 \neq a_1 a_2 a_3 \neq -a^3$ (cf. also the condition $a \neq -1$ in (1.1)).

Concerning the forms with vanishing discriminant, in fact several cases have to be distinguished. These may be found in a classic article of POINCARÉ [15]. It can be shown that there always exists a real non-singular transformation which leads to one of the following canonical forms (b some nonzero constant throughout):

$$u^3 + v^3 + buvw, \tag{4.1}$$

$$(u^2 + v^2)w + bu(u^2 - 3v^2), \tag{4.2}$$

⁹⁾ In its first line, "vom dritten Grade" obviously should read "vom zweiten Grade".

$$w^3 + buv^2, \quad (4.3)$$

$$w^3 + buvw, \quad (4.4)$$

$$w^3 + b(u^2 + v^2)w, \quad (4.5)$$

$$vw^2 + buw^2. \quad (4.6)$$

To this list one has to add only those canonical forms which split into three linear factors, i.e. uvw and $(u^2 + v^2)w$ (they have been dealt with by DAVENPORT, [2], [3]), and the *degenerate* forms which contain less than 3 variables. For these latter forms an obvious application of Minkowski's Convex Body Theorem shows that their minimum equals 0.

However, for the forms (4.1) – (4.6) no results concerning their minima (in the sense of the Geometry of Numbers) seem to exist in the literature.

References

- [1] DAVENPORT, H.: On the product of three homogeneous linear forms, *J. London Math. Soc.* **13** (1938), 139-145.
- [2] DAVENPORT, H.: On the product of three homogeneous linear forms (II), *Proc. London Math. Soc.* (2) **44** (1938), 412-431.
- [3] DAVENPORT, H.: On the product of three homogeneous linear forms (III), *Proc. London Math. Soc.* (2) **45** (1939), 98-125.
- [4] DAVENPORT, H.: Note on the product of three homogeneous linear forms, *J. London Math. Soc.* **16** (1941), 98-101.
- [5] DAVENPORT, H.: On the product of three homogeneous linear forms (IV), *Proc. Cambridge Phil. Soc.* **39** (1943), 1-21.
- [6] DAVENPORT, H.: On the minimum of a ternary cubic form, *J. London Math. Soc.* **19** (1944), 13-18.
- [7] DAVENPORT, H.: On the minimum of $X^3 + Y^3 + Z^3$, *J. London Math. Soc.* **21** (1946), 82-86.
- [8] GOLSER, G.: Schranken für Gitterkonstanten einiger Sternkörper. Ph. D. Dissertation, University of Vienna, 1973.
- [9] GOLSER, G.: Über Gitterkonstanten spezieller Sternkörper, *Sitz.-Ber. Österr. Akad. Wiss., Abt. II, math.-naturwiss. Kl.*, **184** (1975), 227-238.
- [10] GRUBER, P.M., and LEKKERKERKER, C.G.: *Geometry of numbers*. North Holland, Amsterdam-New York-Oxford-Tokyo, 1987.
- [11] HESSE, O.: Über die Elimination der Variablen aus drei algebraischen Gleichungen vom zweiten Grade mit zwei Variablen, *J. reine angew. Math.* **28** (1844), 68-96.
- [12] MORDELL, L.J.: On the minimum of a ternary cubic form, *J. London Math. Soc.* **19** (1944), 6-12.
- [13] NOWAK, W.G.: On the minimum of a ternary cubic form, *Fibonacci Q.* **24** (1986), 129-132.
- [14] NOWAK, W.G.: The critical determinant of the double paraboloid and Diophantine approximation in \mathbb{R}^3 and \mathbb{R}^4 , *Math. Pannonica* **10** (1999), 111-122.
- [15] POINCARÉ, H.: Sur les formes cubiques ternaires et quaternaires, *J. de l'École Polytechnique* **50** (1881), 190-253.
- [16] SOFT WAREHOUSE, Inc., *Derive*, Version 3.11, Soft Warehouse, Inc., Honolulu (Hawaii) 1995.
- [17] WEBER, H.: *Lehrbuch der Algebra*, 3rd ed., vol. II, Chelsea Publ. Co., New York (no year).
- [18] WOLFRAM Research, Inc.: *Mathematica*, Version 3.0. Wolfram Research, Inc., Champaign, 1998.